



61

RCE

Patent
Attorney Docket No. 80,245

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Williams et al.

MAIL STOP: RCE

Serial No. 09/845,111

Art Unit: 2877

Filed: April 27, 2001

Examiner: Kianni, K.C.

For: HIGH POWER FIBER OPTIC
MODULATOR SYSTEM AND METHOD

Confirmation No.: 1619

REQUEST FOR CONTINUED EXAMINATION UNDER 37 C.F.R. §114

MAIL STOP RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
DEC 11 2003
TECHNOLOGY CENTER 2800

Dear Sir:

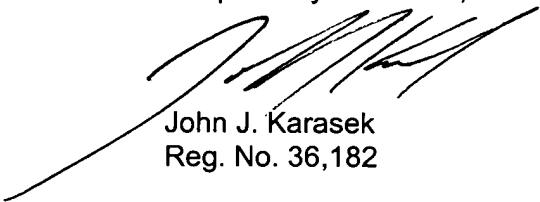
Applicants request continued examination under 37 C.F.R. §114 to consider the accompanying Amendment and Information Disclosure Statement. Applicants further petition for a three-month extension of the shortened-statutory period for response to the Office Action dated May 20, 2003.

The accompanying Fee Transmittal Sheet authorizes the Commissioner to charge the fee for the RCE due under 37 C.F.R. § 1.17(e), the fee for the additional claims, and the fee for a three-month extension of time due under 37 C.F.R. § 1.17(a)(3) to Deposit Account No. 50-0281. No additional fee is believed to be due. However, should any additional fee be required, kindly charge any additional fee, or credit overpayments, to Deposit Account No. 50-0281.

12/09/2003 CNGUYEN 00000080 500281 09845111

01 FC:1801 770.00 DA
02 FC:1253 950.00 DA

Respectfully submitted,


John J. Karasek
Reg. No. 36,182

Date: November 3, 2003
Prepared by: Sally A. Ferrett
Reg. No. 46,325
Telephone: 202-404-1551

TECHNOLOGY CENTER 2800

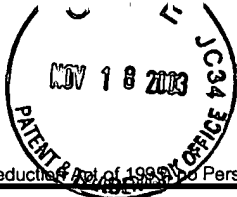
DEC 29 2003

RECEIVED

RECEIVED

DEC 19 2003

OFFICE OF PETITIONS



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 0110112003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 2144.00

Complete if Known

Application Number	09/845,111
Filing Date	April 27, 2001
First Named Inventor	Williams
Examiner Name	K.C. Kianni
Art Unit	2877
Attorney Docket No.	80,245

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

50-0281

NAVAL RESEARCH LABORATORY

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 375	Utility filing fee	
1002 340	2002 165	Design filing fee	
1003 530	2003 260	Plant filing fee	
1004 770	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims below	Fee from	Fee Paid
34	-20- = 14	x 18.00	252.00
5	-3- = 2	x 86.00	172.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 42	Independent claims in excess of 3
1203 290	2203 140	Multiple dependent claim, if not paid
1204 86	2204 42	Reissue independent claims over original patent
1205 18	2205 9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 424.00

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 205	Extension for reply within second month	
1253 950	2253 465	Extension for reply within third month	950.00
1254 1480	2254 725	Extension for reply within fourth month	
1255 2010	2255 985	Extension for reply within fifth month	
1401 330	2401 160	Notice of Appeal	
1402 330	2402 160	Filing a brief in support of an appeal	
1403 290	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 650	Petition to revive - unintentional	
1501 1,330	2501 650	Utility issue fee (or reissue)	
1502 480	2502 235	Design issue fee	
1503 640	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.129(a)	
1806 180	1806 180	Submission of information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 375	Request for Continued Examination (RCE)	770.00
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1720

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	John J. Karasek	Registration No. (Attorney/Agent)	36,182	Telephone	(202) 404-1552
Signature		Date	11/3/03		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

OFFICE OF PETITIONS